



FORT WORTH HOUSING SOLUTIONS

RFQ NO. 2024-204

THIRD PARTY PROPERTY MANAGEMENT SERVICES

Release Date: July 31, 2024

RFP Due Date: September 13, 2024



REQUEST FOR QUALIFICATIONS

RFQ NO. 2024-204 THIRD PARTY PROPERTY MANAGEMENT SERVICES

PRE-SUBMISSION VIRTUAL MEETING	
Thursday, August 8, 2024	at 4:00 p.m. Central Time
MEETING LINK:	<p>RFQ # 2024-204, Third Party Property Management Services</p> <p>Microsoft Teams Need help? Join the meeting now Meeting ID: 296 418 771 472 Passcode: RrLMGy</p> <p>Dial in by phone +1 872-222-5939,,11339776# United States, Chicago Find a local number Phone conference ID: 113 397 76#</p>
JOIN BY PHONE:	<p>Dial in by phone +1 872-222-5939,,11339776# United States, Chicago Find a local number Phone conference ID: 113 397 76#</p>
RFQ QUESTIONS & CLARIFICATION DEADLINE	
	August 12, 2024, before or by 1:00 p.m. Central Time
SEND TO:	All questions for the RFQ must be submitted in writing through Bonfire at https://fwhs.bonfirehub.com
ELECTRONIC SUBMISSION (Late responses will not be accepted)	
DUE DATE& TIME:	Friday, August 30, at 5:00 p.m. Central Time
PROPOSAL UPLOAD LINK:	https://fwhs.bonfirehub.com
FWHS reserves the right to reject any or all RFQs. MBE/WBE firms are encouraged to submit RFQs	
*** Fort Worth Housing Solutions reserves the right, at its sole discretion, to amend any or all of the dates associated with the schedule of events. ***	

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I. INTRODUCTION

The Housing Authority of the City of Fort Worth, Texas, dba Fort Worth Housing Solutions (FWHS) is pleased to issue this Request for Qualifications (RFQ) for **Third Party Property Management Services**. MBE/WBE firms are encouraged to submit qualifications.

Fort Worth Housing Solutions (FWHS) is seeking sealed submittals from interested and qualified individuals and companies who have experience with property management to manage multiple properties in FWHS' affordable housing portfolio, owned by FWHS or its subsidiaries and affiliates.

These properties will include multi-family/mixed-income developments throughout the city of Fort Worth. In addition to affordable housing units, other developments may be added to the portfolio for future for current properties that may be removed due to ownership changes or development plans. The intent of the RFQ is to identify companies that are qualified to perform services described in the scope of services.

FWHS is dedicated to changing the face of affordable housing and developing communities where people can thrive. Through acquisitions, rehabilitation and new construction of multi-family developments, FWHS's housing portfolio consists of approximately 8,000 affordable housing units that include mixed income, Rental Assistance Demonstration (RAD - PBRA), Project-based Vouchers (PBV), Low Income Tax Credits (LIHTC), Market Rate, Historic Tax Credits, HOME Investment Partnerships Program (HOME), and other HUD Special Programs.

Third party property management companies with expertise, trained staff, and demonstrated experience in LIHTC, PBV, RAD-PBRA, HOME and other housing subsidies, as well as state/local funding are encouraged to submit a proposal.

The management companies submitting to manage programs at properties with HUD programs must be in full compliance with all rules and regulations of such programs and all other applicable federal regulations including, but not limited to, Section 504/Uniform Federal Accessibility Standards (UFAS), Americans with Disabilities Act (ADA), Davis-Bacon wage requirements, federal environmental requirements and federal procurement requirements.

In addition to federal laws, rules and regulations, all third-party property management companies must also be compliant with all state of Texas regulations, including, where applicable, those regulations imposed by Texas Department of Housing and Community Affairs (TDHCA) Low-Income Housing Tax Credit program, the City of Fort Worth and FWHS.

Learn more about Fort Worth Housing Solutions at <http://www.fwhs.org>.

II. General Overview

FWHS is looking for third-party property management companies to provide their qualifications to manage properties similar to programs and types of properties in the FWHS property portfolio. The following general overview is intended to provide insight into FWHS' programs and types of properties.

FWHS' Vision Statement: "Fort Worth Housing Solutions envisions communities with quality, affordable housing that provides the foundation to improve lives."

FWHS' Mission Statement: "To develop housing solutions where people flourish".

FWHS' Diversity, Equity & Inclusion Statement: "At FWHS, we prioritize Diversity, Equity, and Inclusion as fundamental principles. Our ongoing commitment is to foster an organization that not only values and embraces diversity but also actively works towards creating inclusive neighborhoods, policies, programs, and workplace. We firmly believe that everyone plays a vital role in building affordable housing communities where everyone can thrive."

Fort Worth Housing Solutions is changing the face of affordable housing by providing mixed-income rental and home ownership opportunities that enable people to flourish.

Through acquisitions, rehabilitation and new construction, the agency continues to expand its portfolio of affordable and mixed-income rental communities. As of 2022, the agency operates 38 mixed-income properties in high opportunity areas across Fort Worth and a total 6,797 units at those properties and some scattered sites. Of those, 89% are offered at various levels of affordability to income-eligible residents who earn 80% or less of the Area Median Income. Six new multifamily properties are in development.

A. General Information About the Types of FWHS Housing Programs and Properties:

At Fort Worth Housing Solutions, we believe everyone deserves an affordable home. We offer several different types of housing assistance, namely:

1. Housing Choice Vouchers

Qualified applicants receive a voucher that can be used to cover a portion of rent. Voucher holders are responsible for finding an acceptable unit whose owner agrees to rent under the program. Voucher holders pay 30% of their adjusted income toward an approved rent and FWHS pays the difference. Adjusted income includes most sources of support, such as Social Security, VA benefits and child support.

FWHS determines a payment standard based on the fair market rent established by HUD for our area. The payment standard is used to calculate the amount of assistance a family will receive. By law, if a family moves to a new unit where the rent exceeds the payment standard, the family may not pay more than 40 percent of its adjusted monthly income for rent.

2. HCV Wait List

FWHS manages a limited number of Housing Choice Vouchers each year based on its federal allocation and budget. The agency maintains a program Wait List through a lottery system and assigns vouchers as they become available.

3. Project Based Vouchers

These are subsidized units that are set aside at a variety of affordable apartment communities for individuals and families whose household income is lower than 50 percent of the area's median income. Tenants pay 30% of their adjusted income toward an approved rent and FWHS pays the difference.

Some programs have specific requirements, including programs that serve special groups such as veterans and individuals who are chronically homeless.

4. Homelessness Programs

FWHS offers housing assistance for individuals and families who are homeless, including veterans and those with disabilities. Persons must be referred by a partner agency.

5. Mainstream Voucher Program

Mainstream vouchers assist non-elderly persons ages 18 to 61 who have disabilities. While they serve a special population, mainstream vouchers are administered using the same rules as other housing choice vouchers.

6. Public Housing

Fort Worth Housing Solutions has transitioned out of traditional public housing in an effort to de-concentrate poverty and improve opportunities for our residents.

- a. Rental Assistance Demonstration (RAD) Program: The Rental Assistance Demonstration program offers affordable living in a variety of quality apartments across Fort Worth. Tenants pay 30% of their adjusted income for rent in this program. At any given time, RAD units may become available at any of these apartment communities.
- b. Butler Place: Thousands of families had called Butler Place home since 1940. That year, Fort Worth's new housing authority opened the apartment community to hundreds of the city's residents who had been living in substandard dwellings on Chambers Hill. Today, Butler's residents are relocating to beautiful new apartment homes in good neighborhoods throughout the city through HUD's RAD Program. The FWHS' highest priority for Butler Place residents was to successfully relocate to their new homes from more than a dozen FWHS apartment communities through a lottery process.

- c. **Stop Six Choice Neighborhood Initiative:** The Stop Six Choice Neighborhood Initiative is a transformative project aimed at revitalizing the Stop Six neighborhood in Fort Worth, Texas. This initiative is led by FWHS and the City of Fort Worth, with significant community involvement.

Key goals of the initiative include:

- Restoring neighborhood confidence and repositioning Stop Six as a desirable place to live.
- Creating better parks, recreational opportunities, and community gathering spaces.
- Improving schools, public libraries, and internet access.
- Enhancing access to employment, education, and training resources.
- Developing walkable neighborhoods with amenities like restaurants, retail outlets and grocery stores.

In 2020, the initiative received a \$35 million federal grant, which is expected to generate nearly \$345 million in development and improvements.

7. **FWHS Partners:** Whether a developer, landlord, vendor or not-for-profit partner, we rely on our partners at FWHS:
 - a. **Landlords:** By participating in the Housing Choice Voucher Programs, FWHS provides landlords with excellent customer service, good tenants, low vacancy rates, direct deposit and free advertising.
 - b. **Developers:** FWHS has established and continues to maintain a proven track record of partnering with progressive developers in order to build and diversify our portfolios and innovatively finance projects through a variety of public and private sources.
 - c. **Community Partners:** FWHS has benefited from many partners who have helped us create numerous economic and business opportunities for those we serve. Through these partnerships, we have helped families transform their lives and reach their goal of economic independence.

We fully recognize that without the commitment, cooperation, and stellar support of these collaborative partnerships throughout Tarrant County, it would be impossible to effectively serve our resident population.

C. FWHS Housing: Goals, Strategy and Count:

- a. **Housing:** FWHS will work with public and private partners to preserve existing housing assets for long-term sustainability, and to expand affordable housing opportunities by 5,000 units throughout the Fort Worth Community.

- b. Housing Strategy: FWHS will analyze the physical and financial conditions of existing housing assets to develop an asset repositioning and investment plan for all properties, to include priorities to guide future new development and acquisition decisions for growth.
- c. Current Unit Count: 7,238 total rental units, 52 mixed-income properties located in high opportunity areas across Fort Worth, 2,777 units in the development pipeline or under construction.

See **Attachment 1** – FWHS Property Portfolio List* for the current list and location of properties. *Note: FWHS’ portfolio may change due to changes in ownership or development plans.

III. SUBMISSION PROCEDURES & REQUIREMENTS

A. Electronic Submission:

Respondent’s proposal must be uploaded in the FWHS e-Procurement portal (Bonfire), with all required documents (See **Exhibit A** – Document Checklist).

Respondents can register by using the following link: <https://fwhs.bonfirehub.com>

Respondent’s qualifications submission must be uploaded in the Bonfire portal by the due date and time listed on Page 2, with all required documents. Emailed proposals will not be considered.

The Respondent must submit the following information, although FWHS reserves the right to request more information upon review of initial submissions. **Identify each section of the proposal as the listed tab section:**

A. Information Required in the Proposal

1. Letter of Interest, Executive Summary (Tab 1)

- a. Include contact name and address: name, title, email, and telephone number to be contacted for clarification or additional information regarding proposals (Cover letter).
- b. A brief statement summarizing the Respondent’s company and relevant experience and qualifications.
- c. Copies of Certificate of Incorporation, Partnership Agreement, Joint Venture or other organizational document and a corporate resolution, if applicable, signed by the Secretary of the Respondent and notarized, certifying the name of the individual(s) authorized to sign the offer, the contract and any amendments thereto.

2. Professional Experience (Tab 2)

Provide qualifications and professional experience for the following same or similar services on a separate sheet:

- a. Affordable Community Portfolio – Complete (on a separate sheet) in the following format:

Name of Community	City, State	# of Units	Affordable Program	Owned (Y/N)	# Years Managed

- b. Market Rate Community Portfolio – Complete (on a separate sheet) in the following format:

Name of Community	City, State	# of Units	Affordable Program	Owned (Y/N)	# Years Managed

- c. Rehab/New Construction Portfolio – Complete (on a separate sheet) in the following format:

Name of Community	City, State	# of Units	Affordable Program	Owned (Y/N)	# Years Managed

- d. Subcontractors – Complete (on a separate sheet) in the following format:

Name of Community	City, State	# of Units	Affordable Program	Owned (Y/N)	# Years Managed

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3. Company Principals/Executives/Key Personnel (Tab 3)

- a. Provide background qualifications regarding each current company principal and key personnel proposed for this project that accurately describes his or her employment history and relevant experience providing services, similar to those described in this RFQ.
- b. Provide your firm’s policies and procedures regarding equal opportunity in employment, contracting, purchasing, etc.
- c. Provide an outline of the job qualifications and experience of key employees in your organization, as well as those who you would expect to be involved with the property.
- d. Provide a summary of your firm’s initial training and orientation of new employees, including a training and certification schedule, and strategies for staff development and retention at the site and corporate level.
- e. Provide an organizational chart that illustrates how these positions fit into your organization.

4. Operations Proposal (TAB 4)

Provide a proposed management plan, outlining Respondent’s qualifications and capabilities for a multifamily property within the City of Fort Worth, Texas comprised of approximately 100 units including RAD, LIHTC, HCV tenants and market rate units. Address the following areas in your plan:

a. Staff Organization, Training & Certifications

- 1) Describe corporate, regional and site staff titles, organization and experience, including an org chart showing corporate office support for site-based staff.
- 2) Describe minimum required training and/or certification for key positions within site-based and corporate staff, and plans for staff development and retention at corporate and site level.

b. Lease Administration

Describe the tenant selection and screening process, renewal policies and procedures, plans for inspections, lease violations, fees and charges, evictions and termination and move-out procedures.

c. Marketing, Leasing and Waitlist Management

Provide marketing plan that outlines qualifications and efforts to keep property at high occupancy rate, reflecting waitlist procedures, and affirmative fair housing marketing efforts, and including a sample marketing plan.

d. Program compliance and recertification

- 1) Describe procedure and timeline for income verification, rent calculation, annual and interim recertification, and similar program compliance, including notice schedule and file documentation procedures.
- 2) Describe compliance oversight procedures, including staff responsible and their qualifications.

e. Maintenance and Property Improvement

- 1) Describe staffing and staff training/certifications, policies and procedures regarding work orders, emergency/after-hours maintenance calls, make-ready steps, and include a sample preventative maintenance plan and schedule.
- 2) Describe components of a 5-year plan for property improvements capital repairs, including a sample plan.

f. Security & Safety

Describe general security and safety plans, as well as response procedures in the event of a security, criminal, or injury event.

g. Procurement

Describe current procurement procedures, including the titles and qualifications of staff tasked with compliance, and competitive negotiation processes (Note: detailed procurement requirements of each property will be subject to applicable programs and must adhere to FWHS's procurement policy thresholds).

h. Section 504, ADA/UFAS Compliance & Reasonable Accommodations

Describe qualifications for the process of tenant requests for accommodations from submission to final decision, including the staff tasked with review and oversight of such requests, and their training and/or certification; include examples of tenant requests and how same were resolved.

i. Accounts Payable

Describe qualifications for managing invoices according to the policy submitted to FWHS.

j. Financial Reporting & Budgeting

- 1) Describe the procedures qualifications for month-end and year-end accounting close and respective financial report preparation, including software utilized.
- 2) Provide a sample prototypical budget and income and expense report, as well as a sample monthly statement reflecting financial components, occupancy rate, and similar components.

k. Technology

Describe the Respondent's property management software package, as well as compatibility or competency with other similar software systems; include a description of any ancillary software used at the corporate or site level for leasing, rent tracking, maintenance logs, tenant screening, or other services, including compatibility with other software systems; include a description of IT staff or subcontractors Respondent utilizes for technical assistance.

l. Resident services and relationships

Describe qualifications for a site-based resident outreach and communication plan to residents, including communications, events, and amenities offered to tenants.

5. Fees to be Negotiated with Awardee(s) (Tab 5)

This agreement will be a firm fixed-fee agreement. The fees negotiated with selected firms will include all charges incurred in fulfilling the requirements of the agreement during the contract period.

6. Financial Statement (TAB 6)

Include a copy of financial statements for the last three (3) fiscal years. If required, this information may be confidential, as long as this documentation is clearly marked confidential.

B. Evaluation Process

A selection committee composed of FWHS staff will review all submissions in accordance with this RFQ's Qualifications Evaluation criteria and will recommend to the FWHS Board of Commissioners the firm(s) most advantageous and supportive of the agency's needs. The firm(s) selected must demonstrate capabilities and qualifications to create a successful, proactive and strategic business relationship with FWHS. The selection committee and/or the Board of Commissioners may, at its discretion, request interviews with respondents to discuss specific aspects and clarifications of their submission(s). The Board of Commissioners will make the final decision.

FWHS reserves the right to award to one or multiple firms without interviews or presentations, and may conduct interviews, presentations and negotiations with the top selected firm(s) of the finalist firms.

FWHS reserves the right to accept or reject in part or cancel the solicitation and re-solicit a new solicitation. FWHS may also reject any submittals that are incomplete or non-responsive and any proposals that are submitted after the deadline.

C. Request for Information

Respondents desiring any explanation or further information regarding the solicitation must submit an e-mail request through the Bonfire portal. Any clarification and/ or information will be furnished promptly as a written addendum to the RFQ and posted on the FWHS Bonfire Project portal.

IV. EVALUATION/SELECTION CRITERIA

The RFQ will be evaluated and rated on the following criteria:

CRITERIA	POINTS
Qualifications and Experience: Company Information, Years in Business, Experience and Demonstrated ability of the Respondent to provide the resources (staffing, equipment, training, and other) necessary for the effective maintenance and improvement of the physical conditions of the project, and the program compliance requirements.	25
Technical Qualifications and Competence: Respondent’s qualifications and methodology for overall management that will ensure appropriate operations, financial optimization, and compliance with applicable program requirements.	20
Financial Capacity: Appropriate financial information that qualifies to obtain structure and implement layered public and private financing (including Low Income Housing Tax Credits), and the financial capacity of the developer/provider of guarantees	5
Qualifications and M/WBE Efforts: The degree to which the qualifications to provide for minority and women-owned (M/WBE) business participation is considered.	5
Past Performance: Demonstrated successful experience and capability of the proposed staff and sub-contractors proposed for this project in providing the services described in this RFP.	20
Qualifications for Methodology: Effectiveness and Efficiency of Approaches to Projects, Community Engagement Efforts, demonstrated successful management plan and capability in providing services described in this RFQ.	20
References: Review of at least three references	5
TOTAL POSSIBLE POINTS	100

Shortlisted teams may be invited to present their qualifications and vision for the project to the evaluation committee. This provides an opportunity for the committee or Board Commissioners to ask questions and gauge the team’s compatibility with FWHS’ values and goals.

V. GENERAL CONDITIONS OF THE RFQ

A. Indemnity

Any Respondent awarded an agreement hereunder must agree to indemnify Owner and the ownership entities of the project, its agents, officials, commissioners, and employees (collectively, “Indemnitees”), against claims that may accrue or arise against the Indemnitees as a result of Respondent’s management of the project if the claim was caused

by the negligence, error, act or omission of Respondent, its employees, agents, subcontractors, or subcontractor's employees, as follows:

1. Indemnification of Indemnitees: Respondent will indemnify, protect, defend (with legal counsel approved by Indemnitees) and hold harmless Indemnitees from and against any and all claims, demands, actions, liabilities, losses, costs, expenses, damages, penalties, interest, fines, injuries and obligations, including reasonable attorney's fees, court costs and litigation expenses (collectively, "Claims") incurred by any Indemnitees as a result of (i) any act by Respondent (or any officer, agent, or employee of Respondent) outside the scope of Respondent's authority hereunder, (ii) any act or omission taken by Respondent (or any officer, agent, or employee of Respondent) without notice to or knowledge of Owner; (iii) any act or failure to act by Respondent (or any officer, agent, or employee of Respondent) constituting negligence, misconduct, or fraud, (iv) claims made by current or former employees or applicants for employment or any third party arising from the hiring, supervising or firing of any employee of Respondent, or (v) any act or omission by Respondent, its employees, officers, or agents in violation of any applicable law.

Respondent will further indemnify, defend and save Indemnitees harmless from and against claims made against Indemnitees related to:

- i. leasing commissions, brokerage fees, or similar charges, other than those expressly approved and agreed to by Indemnitees in writing, and provided such claim is made by a broker or other party who engaged with Respondent in connection with the leasing of the Property;
- ii. any claim other than injury to persons or property arising out of the negligence of Respondent in performing leasing activities of the Property;
- iii. claims for the failure of Respondent to abide by fair housing statutes, rules or regulations which pertain to the leasing or renting of apartment units of the Property;
- iv. claims for failure of Respondent to comply with rental restrictions, budgets, or the property management Agreement; provided however, this indemnification will not be applicable where Respondent followed the instructions of Indemnitees with regard to the selection of tenants for the Property; and in following such Indemnitee instructions, Respondent is alleged to have violated any such fair housing statute, rule or regulation;
or
- v. claims for non-compliance with Section 42 of the IRC for any resident files that Respondent initiates after the date of the property management agreement.

2. **Indemnification of Respondent:** To the extent permitted by applicable law, Indemnitees will indemnify, protect, defend and hold harmless Respondent from and against any and all claims incurred by Respondent resulting from performance of its obligations under this Agreement, **except** that this indemnification will not apply with respect to the following claims:
- i. claims that are expressly the obligation of Respondent as set forth above,
 - ii. claims resulting from any act by Respondent (or any officer, agent, or employee of Respondent) outside the scope of Respondent authority hereunder,
 - iii. claims resulting from any action or omission of Respondent taken without Owner's knowledge or notice to Owner,
 - iv. claims arising from any act or failure to act by Respondent (or any officer, agent, or employee of Respondent) constituting negligence, misconduct, fraud, or breach of the property management Agreement;
 - v. claims made by current or former employees or applicants for employment arising from Respondent's decisions concerning hiring, supervising or firing such employees or applicants, or
 - vi. claims arising from any act or omission by Respondent, its employees, officers, or agents in violation of any applicable law.

If, at any time during the course for such defense, Indemnitees reasonably determine that such claim results from an event, action or inaction for which Respondent is not entitled to indemnification hereunder, Indemnitees will automatically be entitled to immediate reimbursement for all losses, costs and expenses incurred on behalf of itself and of Respondent incurred to the date of such determination.

Indemnitees may, at their cost and expense, participate in the defense or resolution of a claim. Respondent will have the primary control of the defense and resolution of the claim except when such defense or resolution requires Indemnitees to (i) admit liability or wrongdoing or (ii) pay money. In either of these cases, Respondent must obtain Indemnitee's prior written consent before entering into such settlement or resolutions.

3. **Insurance**

FWHS must be named as an Additional Insured and as the Certificate Holder.

Liability insurance may be arranged by general and auto liability policies for the full limits required, or by a combination of underlying liability policies for lesser limits with remaining limits provided by an excess or umbrella policy.

All policies hereunder must be provided by carriers with an A.M. Best’s rating of A or at least B+.

The agreement entered into by approved Respondents will include the following requirements regarding insurance and indemnification. A **current Certificate of Insurance is required for the duration of the contract term, including option years:**

Commercial General Liability	Required Limits
This is required to protect the Respondent, its subcontractors, and FWHS and the ownership entity for the project, and their officers and employees against injuries to third parties, including bodily and personal injury, resulting from any acts or omissions of Respondent pursuant to the management of the property.	\$1,000,000 per occurrence \$2,000,000 aggregate
Business Automobile Liability	Required Limits
This is required for property damage, covering all owned, hired, or leased autos operated by Respondent or its employees or contractors. any Contractor that will be using their vehicle to do work on Owner’s properties.	\$1,000,000 per occurrence
Workers Compensation and Employer’s Liability	Required Limits
Workers’ Compensation coverage is Statutory and has no pre-set limits. Employer’s Liability limit is \$500,000. A waiver of Subrogation in favor of FWHS and Owner must be included in the Workers’ Compensation policy.	Statutory \$500,000
Fidelity Bond or Commercial Crime Policy	Required Limits
This is required to protect the financial interests of Respondent, FWHS, and the ownership entity.	\$5,000,000 per occurrence

4. Compliance Requirements

Respondent will be required to comply with all applicable municipal, state and federal ordinances, regulations, and laws related to the property management services contemplated under the property management agreement, which includes but is not limited to, all HUD and TDHCA program requirements, IRS regulations, ADA and accessibility requirements, fair housing laws, and labor and employment laws and regulations.

5. Acceptance of RFQ and Contract Terms

Respondent’s submission of a proposal in response to the RFQ shall constitute acceptance by Respondent of the terms and conditions of this RFQ. FWHS will review qualifying submissions and enter into negotiations for service agreements with individual respondent(s)

for individual properties in its and its affiliates' portfolios as it deems advisable, and reserves the right to make a contract award for multiple properties to one or more Respondents. If a Respondent's proposal is accepted for contract award, the Respondent agrees to enter into a negotiated contract including the material terms outlined in this RFQ and other negotiated terms as soon as feasible following the award.

If FWHS and Owner determine that negotiations for the contract for any Property or with any Respondent are unsuccessful, in FWHS's sole discretion, the award may be cancelled, and another awardee respondent selected.

Contract Term: The contract will be for two (2) years with three (3) additional one-year renewal options unless otherwise terminated by the parties. The agreement may be canceled by either party for any reason with 60-days written notice.

B. Right to Protest

Any prospective or actual Respondent, Offeror, or Contractor who is allegedly aggrieved in connection with the solicitation of a proposal or award of a contract, has the right to protest. Such right only applies to deviations from laws, rules, regulations, or procedures. Disagreements with the evaluators' judgement as to the number of points scored are not reasons for appeal. An alleged aggrieved protestant claiming this right is hereby informed that these regulations do not provide for administrative appeal as a matter of right for that alleged aggrieved protestant.

- a. Definition:** An alleged aggrieved "protester" is a prospective Respondent or Respondent who feels that he/she has been treated inequitably by FWHS and wishes FWHS to correct the alleged inequitable conditions or situation.
- b. Eligibility:** To be eligible to file a protest with FWHS pertaining to an RFP or contract, the alleged aggrieved protester must have been involved in the RFP process in some manner as a prospective Respondent (i.e. recipient of the RFP documents) when the alleged situation occurred. FWHS has no obligation to consider a protest file by any party that does not meet the criteria.
- c. Procedure:** Any actual or prospective contractor may protest the solicitation or award of a contract for material violation of FWHS' procurement policy. Any protest against a FWHS solicitation must be received before the due date for receipt of proposals and any protest against the award of a contract must be received within ten calendar days after contract award or the protest will not be considered.

All protests must be submitted in writing to the Procurement Department at procurement@fwhs.org, for a written decision. The Procurement Department will make a recommendation from the Contracting Officer, who will issue a written decision and findings to the Contractor within 30 days of the receipt of the written decision. Appeals which are not timely filed will not be considered and the decision becomes final.

C. Proposed Cost

The cost for the project will be negotiated independently with the awarded firms.

Expense of RFQ Submission

All expenses incurred in the preparation and submission of the RFQ will be borne by Respondent.

D. Applicable Statutes, Regulations & Orders

Respondents will comply with all applicable federal, state, and local laws, rules regulations, executive orders, ordinances and codes and obtain any licenses or permits required to provide the services under this RFQ, including but not limited to:

1. Executive Order 11246
2. Executive Order 11063
3. Copeland “Anti-Kickback” Act (18 USC 1368)
4. Davis Bacon Acts (42 USC 276a- 7)
5. Clean Air & Water Acts (40 USC 1857(h); 33 USC 1368)
6. Contract Work Hours & Safety Standards Act (40 USC 327-330)
7. Energy Policy & Conservation Act (PL 94-163, 89 STAT 871)
8. Civil Rights Act of 1964, Title VI (PL 88-352)
9. Civil Rights Acts of 1968, Title VIII (PL 90-284 Fair Housing Act)
10. Age Discrimination Act of 1975
11. Anti-Drug Abuse Act of 1988 (42 USC 11901 et. Seq)
12. HUD Information Bulletin 909-23
13. Immigration Reform & Control Act of 1986
14. Fair Labor Standards Act (29 USC 201, et. Seq.)

E. No Warranty

Respondents are required to examine the RFQ, scope of services, and instructions pertaining to the services requested. Failure to do so will be at the Respondent’s own risk. It is assumed that the Respondent has made full investigation so as to be fully informed as to the extent and character of the services requested. No warranty or representation is made or implied as the information contained in this RFQ.

F. Conflicting Conditions

In the event there is a conflict between the documents comprising this RFQ and any resulting contracts, the following order of precedence governs: (1) the more restrictive terms of either: any and all attached HUD forms and the terms/conditions in the body of any resulting contract; (2) the

RFQ; and (3) Contractor's Response. If a conflict exists between any state statute of federal law, the most restrictive terms apply.

REAL ESTATE PORTFOLIO

EXISTING PROPERTIES

1. Alton Park
2. Aventine Apartments
3. Avondale Apartments
4. Bottle House on Main
5. Cambridge Court Apartments
6. Campus Apartments
7. Candletree Apartments
8. Carlyle Crossing Apartments
9. Casa de Esperanza
10. Cowan Place Senior Living
11. Crestwood Apartments
12. Fair Oaks Apartments
13. Fair Park Apartments
14. The Henderson
15. Jennings Place
16. The Holston
17. HomeTowne at Matador Ranch
18. Hunter Plaza Apartments
19. The Huntley
20. Knights of Pythias
21. Overton Park Townhomes
22. The Palladium
23. Patriot Pointe
24. Pavilion at Samuels Avenue
25. Post Oak East Apartments
26. Prince Hall Gardens
27. Reserve at McAlister
28. Sabine Place Apartments
29. Sedona Village Senior Living
30. Siddons Place
31. Silversage Point at Western Center
32. The Springs Apartments
33. Stallion Pointe
34. Stallion Ridge
35. Standard at Boswell
36. Standard River District
37. Villas by the Park Apartments
38. Villas of Eastwood Terrace
39. Villas on the Hill Apartments
40. Wind River Apartments
41. Woodmont Apartments

IN DEVELOPMENT 2023

42. Chaparral Ranch Homes
43. Hughes House I
44. Hughes House II
45. Inspire at Bonds Ranch
46. The Opal
47. Skyline Prairie Homes
48. Skyline Prairie Homes II
49. Jefferson Eastchase
50. Jefferson Primrose
51. The Crawford
52. Babers Manor

52
mixed-income properties located in
high opportunity areas across Fort Worth

7,238
total rental units

85%
offered at multiple levels of affordability

2,777
units were in the development pipeline or
under construction in 11 communities.

- Chaparral Ranch Homes
- Cowan Place
- The Huntley
- Skyline Prairie Homes
- Hughes House
- The Opal
- The Crawford
- Jefferson Primrose South
- Casa de los Sueños
- Inspire at Bonds Ranch
- Jefferson at Eastchase

Attachment 2 FWHS 2024 Property Map

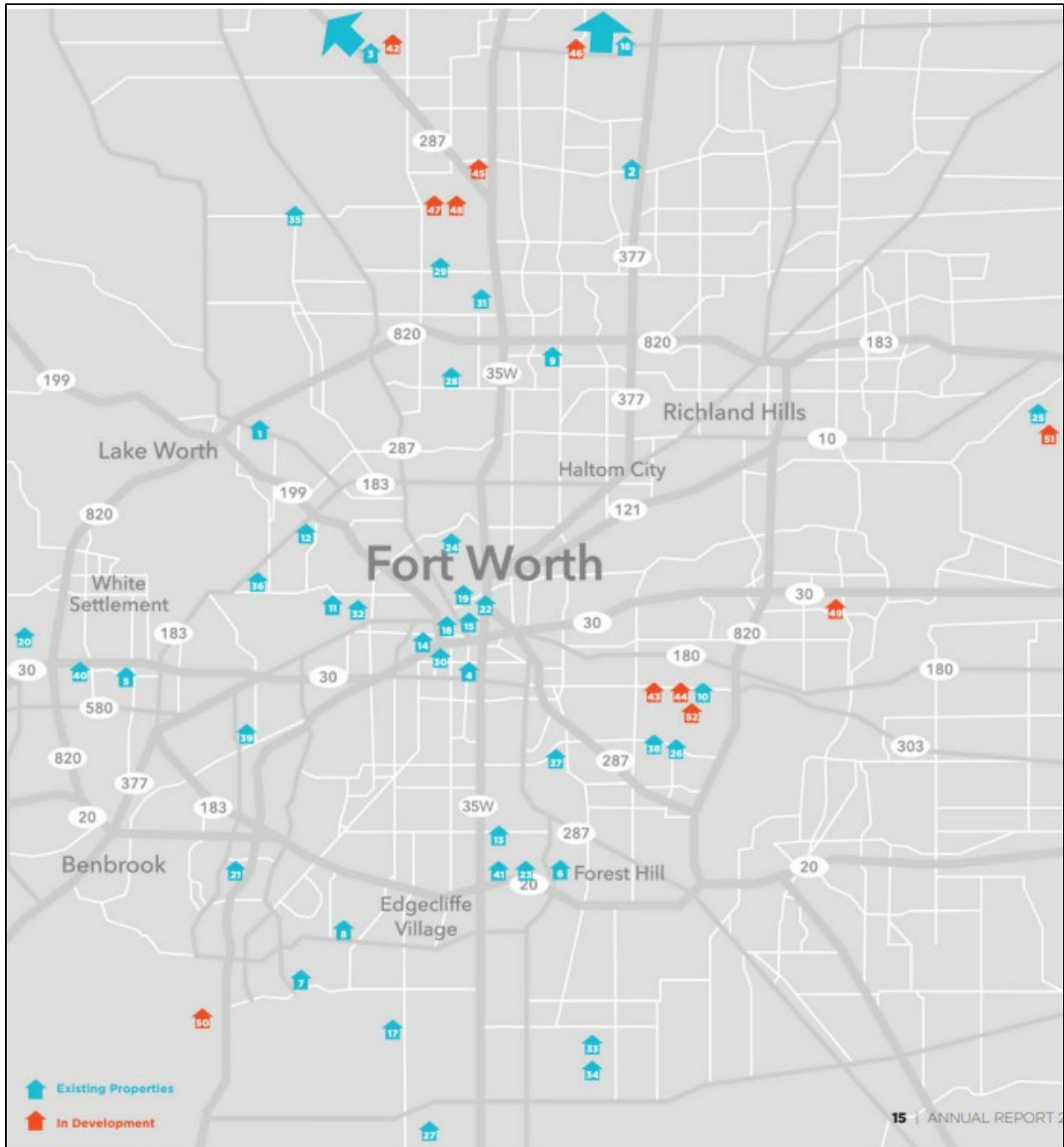


EXHIBIT A Documents Checklist

All documents, including this Checklist, must be completed in full and submitted in the requested order, or response may be considered as non-responsive submittal.

<u>Exhibit</u>	<u>Document Checklist</u>	<u>Initial if Included</u>
a)	Qualification Document Checklist	
b)	Acknowledgement of Receipt of Addendum(s)	
c)	Business References	
d)	Non-Collusive Affidavit	
e)	Conflict of Interest Questionnaire	
f)	Profile of Firm	
g)	Form HUD 5369-C	
h)	M/WBE Guidelines	
i)	Equal Employment Opportunity	
j)	Insurance Certificate Proof	
k)	W-9	

I understand that failure to submit all these items may cause my submittal to be considered non-responsive.

Name	
Title	
Company	
Date	

EXHIBIT B HUD FORMS

1. Instructions to Offerors Non-Construction (HUD 5369B)
2. Certifications and Representations of Offerors- Non-Construction (HUD 5369-C)
3. General Conditions for Non- Construction Contract (HUD 5370-C)

EXHIBIT C FWHS FORMS

1. Business References
2. Non-Collusive Affidavit
3. Conflict of Interest Questionnaire
4. Profile of Firm
5. M/WBE Guidelines
6. Equal Employment Opportunity
7. Sample Certificate of Insurance
8. W-9
9. Certificate of Interested Parties (Form 1295)

Documents Checklist

All documents, including this Checklist, must be completed in full and submitted in the requested order, or response may be considered as non-responsive.

<u>Document Checklist</u>	<u>Initial if Included</u>
1. Qualifications Document Checklist.	<input type="checkbox"/>
2. Acknowledge of Receipt of Addendum/Addenda, if applicable.	<input type="checkbox"/>
3. HUD Forms	<input type="checkbox"/>
4. Business References	<input type="checkbox"/>
5. Non-Collusive Affidavit	<input type="checkbox"/>
6. Conflict Of Interest Questionnaire.	<input type="checkbox"/>
7. Profile of Firm	<input type="checkbox"/>
8. M/WBE Guidelines	<input type="checkbox"/>
9. Equal Employment Opportunity	<input type="checkbox"/>
10. Insurance Certificate Proof.	<input type="checkbox"/>
11. W-9..	<input type="checkbox"/>

I understand that failure to submit all of these items may cause my submittal to be considered non-responsive.

Name

Title

Company

Date

1. Preparation of Offers

(a) Offerors are expected to examine the statement of work, the proposed contract terms and conditions, and all instructions. Failure to do so will be at the offeror's risk.

(b) Each offeror shall furnish the information required by the solicitation. The offeror shall sign the offer and print or type its name on the cover sheet and each continuation sheet on which it makes an entry. Erasures or other changes must be initialed by the person signing the offer. Offers signed by an agent shall be accompanied by evidence of that agent's authority, unless that evidence has been previously furnished to the HA.

(c) Offers for services other than those specified will not be considered.

2. Submission of Offers

(a) Offers and modifications thereof shall be submitted in sealed envelopes or packages (1) addressed to the office specified in the solicitation, and (2) showing the time specified for receipt, the solicitation number, and the name and address of the offeror.

(b) Telegraphic offers will not be considered unless authorized by the solicitation; however, offers may be modified by written or telegraphic notice.

(c) Facsimile offers, modifications or withdrawals will not be considered unless authorized by the solicitation.

3. Amendments to Solicitations

(a) If this solicitation is amended, then all terms and conditions which are not modified remain unchanged.

(b) Offerors shall acknowledge receipt of any amendments to this solicitation by

- (1) signing and returning the amendment;
- (2) identifying the amendment number and date in the space provided for this purpose on the form for submitting an offer,
- (3) letter or telegram, or
- (4) facsimile, if facsimile offers are authorized in the solicitation. The HA/HUD must receive the acknowledgment by the time specified for receipt of offers.

4. Explanation to Prospective Offerors

Any prospective offeror desiring an explanation or interpretation of the solicitation, statement of work, etc., must request it in writing soon enough to allow a reply to reach all prospective offerors before the submission of their offers. Oral explanations or instructions given before the award of the contract will not be binding. Any information given to a prospective offeror concerning a solicitation will be furnished promptly to all other prospective offerors as an amendment of the solicitation, if that information is necessary in submitting offers or if the lack of it would be prejudicial to any other prospective offerors.

5. Responsibility of Prospective Contractor

(a) The HA shall award a contract only to a responsible prospective contractor who is able to perform successfully under the terms and conditions of the proposed contract. To be determined responsible, a prospective contractor must -

- (1) Have adequate financial resources to perform the contract, or the ability to obtain them;

- (2) Have a satisfactory performance record;
- (3) Have a satisfactory record of integrity and business ethics;
- (4) Have a satisfactory record of compliance with public policy (e.g., Equal Employment Opportunity); and
- (5) Not have been suspended, debarred, or otherwise determined to be ineligible for award of contracts by the Department of Housing and Urban Development or any other agency of the U.S. Government. Current lists of ineligible contractors are available for inspection at the HA/HUD.

(b) Before an offer is considered for award, the offeror may be requested by the HA to submit a statement or other documentation regarding any of the foregoing requirements. Failure by the offeror to provide such additional information may render the offeror ineligible for award.

6. Late Submissions, Modifications, and Withdrawal of Offers

(a) Any offer received at the place designated in the solicitation after the exact time specified for receipt will not be considered unless it is received before award is made and it -

- (1) Was sent by registered or certified mail not later than the fifth calendar day before the date specified for receipt of offers (e.g., an offer submitted in response to a solicitation requiring receipt of offers by the 20th of the month must have been mailed by the 15th);
- (2) Was sent by mail, or if authorized by the solicitation, was sent by telegram or via facsimile, and it is determined by the HA/ HUD that the late receipt was due solely to mishandling by the HA/ HUD after receipt at the HA;
- (3) Was sent by U.S. Postal Service Express Mail Next Day Service - Post Office to Addressee, not later than 5:00 p.m. at the place of mailing two working days prior to the date specified for receipt of proposals. The term "working days" excludes weekends and U.S. Federal holidays; or
- (4) Is the only offer received.

(b) Any modification of an offer, except a modification resulting from the HA's request for "best and final" offer (if this solicitation is a request for proposals), is subject to the same conditions as in subparagraphs (a)(1), (2), and (3) of this provision.

(c) A modification resulting from the HA's request for "best and final" offer received after the time and date specified in the request will not be considered unless received before award and the late receipt is due solely to mishandling by the HA after receipt at the HA.

(d) The only acceptable evidence to establish the date of mailing of a late offer, modification, or withdrawal sent either by registered or certified mail is the U.S. or Canadian Postal Service postmark both on the envelope or wrapper and on the original receipt from the U.S. or Canadian Postal Service. Both postmarks must show a legible date or the offer, modification, or withdrawal shall be processed as if mailed late. "Postmark" means a printed, stamped, or otherwise placed impression (exclusive of a postage meter machine impression) that is readily identifiable without further action as having been supplied and affixed by employees of the U.S. or Canadian Postal Service on the date of mailing. Therefore, offerors should request the postal clerk to place a hand cancellation bull's-eye postmark on both the receipt and the envelope or wrapper.

(e) The only acceptable evidence to establish the time of receipt at the HA is the time/date stamp of HA on the offer wrapper or other documentary evidence of receipt maintained by the HA.

(f) The only acceptable evidence to establish the date of mailing of a late offer, modification, or withdrawal sent by Express Mail Next Day Service-Post Office to Addressee is the date entered by the post office receiving clerk on the "Express Mail Next Day Service-Post Office to Addressee" label and the postmark on both the envelope or wrapper and on the original receipt from the U.S. Postal Service. "Postmark" has the same meaning as defined in paragraph (c) of this provision, excluding postmarks of the Canadian Postal Service. Therefore, offerors should request the postal clerk to place a legible hand cancellation bull's eye postmark on both the receipt and the envelope or wrapper.

(g) Notwithstanding paragraph (a) of this provision, a late modification of an otherwise successful offer that makes its terms more favorable to the HA will be considered at any time it is received and may be accepted.

(h) If this solicitation is a request for proposals, proposals may be withdrawn by written notice, or if authorized by this solicitation, by telegram (including mailgram) or facsimile machine transmission received at any time before award. Proposals may be withdrawn in person by a offeror or its authorized representative if the identity of the person requesting withdrawal is established and the person signs a receipt for the offer before award. If this solicitation is an Invitation for bids, bids may be withdrawn at any time prior to bid opening.

7. Contract Award

(a) The HA will award a contract resulting from this solicitation to the responsible offeror whose offer conforming to the solicitation will be most advantageous to the HA, cost or price and other factors, specified elsewhere in this solicitation, considered.

(b) The HA may

- (1) reject any or all offers if such action is in the HA's interest,
- (2) accept other than the lowest offer,
- (3) waive informalities and minor irregularities in offers received, and (4) award more than one contract for all or part of the requirements stated.

(c) If this solicitation is a request for proposals, the HA may award a contract on the basis of initial offers received, without discussions. Therefore, each initial offer should contain the offeror's best terms from a cost or price and technical standpoint.

(d) A written award or acceptance of offer mailed or otherwise furnished to the successful offeror within the time for acceptance specified in the offer shall result in a binding contract without further action by either party. If this solicitation is a request for proposals, before the offer's specified expiration time, the HA may accept an offer, whether or not there are negotiations after its receipt, unless a written notice of withdrawal is received before award. Negotiations conducted after receipt of an offer do not constitute a rejection or counter offer by the HA.

(e) Neither financial data submitted with an offer, nor representations concerning facilities or financing, will form a part of the resulting contract.

8. Service of Protest

Any protest against the award of a contract pursuant to this solicitation shall be served on the HA by obtaining written and dated acknowledgment of receipt from the HA at the address shown on the cover of this solicitation. The determination of the HA with regard to such protest or to proceed to award notwithstanding such protest shall be final unless appealed by the protestor.

9. Offer Submission

Offers shall be submitted as follows and shall be enclosed in a sealed envelope and addressed to the office specified in the solicitation. The proposal shall show **the hour and date specified in the solicitation for receipt, the solicitation number, and the name and address of the offeror, on the face of the envelope.**

It is very important that the offer be properly identified on the face of the envelope as set forth above in order to insure that the date and time of receipt is stamped on the face of the offer envelope. Receiving procedures are: date and time stamp those envelopes identified as proposals and deliver them immediately to the appropriate contracting official, and only date stamp those envelopes which do not contain identification of the contents and deliver them to the appropriate procuring activity only through the routine mail delivery procedure.

[Describe bid or proposal preparation instructions here:]

Please note that additional conditions, specifications and instructions pertaining to this RFP are contained within the RFP document issued.

Certifications and Representations of Offerors

Non-Construction Contract

Public reporting burden for this collection of information is estimated to average 5 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

This form includes clauses required by OMB's common rule on bidding/offering procedures, implemented by HUD in 24 CFR 85.36, and those requirements set forth in Executive Order 11625 for small, minority, women-owned businesses, and certifications for independent price determination, and conflict of interest. The form is required for nonconstruction contracts awarded by Housing Agencies (HAs). The form is used by bidders/offerors to certify to the HA's Contracting Officer for contract compliance. If the form were not used, HAs would be unable to enforce their contracts. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.

1. Contingent Fee Representation and Agreement

(a) The bidder/offeror represents and certifies as part of its bid/offer that, except for full-time bona fide employees working solely for the bidder/offeror, the bidder/offeror:

- (1) has, has not employed or retained any person or company to solicit or obtain this contract; and
- (2) has, has not paid or agreed to pay to any person or company employed or retained to solicit or obtain this contract any commission, percentage, brokerage, or other fee contingent upon or resulting from the award of this contract.

(b) If the answer to either (a)(1) or (a) (2) above is affirmative, the bidder/offeror shall make an immediate and full written disclosure to the PHA Contracting Officer.

(c) Any misrepresentation by the bidder/offeror shall give the PHA the right to (1) terminate the resultant contract; (2) at its discretion, to deduct from contract payments the amount of any commission, percentage, brokerage, or other contingent fee; or (3) take other remedy pursuant to the contract.

2. Small, Minority, Women-Owned Business Concern Representation

The bidder/offeror represents and certifies as part of its bid/offer that it:

- (a) is, is not a small business concern. "Small business concern," as used in this provision, means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding, and qualified as a small business under the criteria and size standards in 13 CFR 121.
- (b) is, is not a women-owned small business concern. "Women-owned," as used in this provision, means a small business that is at least 51 percent owned by a woman or women who are U.S. citizens and who also control and operate the business.
- (c) is, is not a minority enterprise which, pursuant to Executive Order 11625, is defined as a business which is at least 51 percent owned by one or more minority group members or, in the case of a publicly owned business, at least 51 percent of its voting stock is owned by one or more minority group members, and whose management and daily operations are controlled by one or more such individuals.

For the purpose of this definition, minority group members are:

(Check the block applicable to you)

- | | |
|---|---|
| <input type="checkbox"/> Black Americans | <input type="checkbox"/> Asian Pacific Americans |
| <input type="checkbox"/> Hispanic Americans | <input type="checkbox"/> Asian Indian Americans |
| <input type="checkbox"/> Native Americans | <input type="checkbox"/> Hasidic Jewish Americans |

3. Certificate of Independent Price Determination

(a) The bidder/offeror certifies that—

- (1) The prices in this bid/offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other bidder/offeror or competitor relating to (i) those prices, (ii) the intention to submit a bid/offer, or (iii) the methods or factors used to calculate the prices offered;
- (2) The prices in this bid/offer have not been and will not be knowingly disclosed by the bidder/offeror, directly or indirectly, to any other bidder/offeror or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and
- (3) No attempt has been made or will be made by the bidder/offeror to induce any other concern to submit or not to submit a bid/offer for the purpose of restricting competition.

(b) Each signature on the bid/offer is considered to be a certification by the signatory that the signatory:

- (1) Is the person in the bidder/offeror's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above; or
- (2) (i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above (insert full name of person(s) in the bidder/offeror's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the bidder/offeror's organization);
(ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and

(iii) As an agent, has not personally participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above.

- (c) If the bidder/offeror deletes or modifies subparagraph (a)2 above, the bidder/offeror must furnish with its bid/offer a signed statement setting forth in detail the circumstances of the disclosure.

4. Organizational Conflicts of Interest Certification

- (a) The Contractor warrants that to the best of its knowledge and belief and except as otherwise disclosed, it does not have any organizational conflict of interest which is defined as a situation in which the nature of work under a proposed contract and a prospective contractor's organizational, financial, contractual or other interest are such that:
- (i) Award of the contract may result in an unfair competitive advantage;
 - (ii) The Contractor's objectivity in performing the contract work may be impaired; or
 - (iii) That the Contractor has disclosed all relevant information and requested the HA to make a determination with respect to this Contract.
- (b) The Contractor agrees that if after award he or she discovers an organizational conflict of interest with respect to this contract, he or she shall make an immediate and full disclosure in writing to the HA which shall include a description of the action which the Contractor has taken or intends to eliminate or neutralize the conflict. The HA may, however, terminate the Contract for the convenience of HA if it would be in the best interest of HA.
- (c) In the event the Contractor was aware of an organizational conflict of interest before the award of this Contract and intentionally did not disclose the conflict to the HA, the HA may terminate the Contract for default.
- (d) The Contractor shall require a disclosure or representation from subcontractors and consultants who may be in a position to influence the advice or assistance rendered to the HA and shall include any necessary provisions to eliminate or neutralize conflicts of interest in consultant agreements or subcontracts involving performance or work under this Contract.

5. Authorized Negotiators (RFPs only)

The offeror represents that the following persons are authorized to negotiate on its behalf with the PHA in connection with this request for proposals: (list names, titles, and telephone numbers of the authorized negotiators):

6. Conflict of Interest

In the absence of any actual or apparent conflict, the offeror, by submission of a proposal, hereby warrants that to the best of its knowledge and belief, no actual or apparent conflict of interest exists with regard to my possible performance of this procurement, as described in the clause in this solicitation titled "Organizational Conflict of Interest."

7. Offeror's Signature

The offeror hereby certifies that the information contained in these certifications and representations is accurate, complete, and current.

Signature & Date:

Typed or Printed Name:

Title:

Certifications and Representations of Offerors

Non-Construction Contract

Public reporting burden for this collection of information is estimated to average 5 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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(a) The bidder/offeror represents and certifies as part of its bid/offer that, except for full-time bona fide employees working solely for the bidder/offeror, the bidder/offeror:

- (1) has, has not employed or retained any person or company to solicit or obtain this contract; and
- (2) has, has not paid or agreed to pay to any person or company employed or retained to solicit or obtain this contract any commission, percentage, brokerage, or other fee contingent upon or resulting from the award of this contract.

(b) If the answer to either (a)(1) or (a) (2) above is affirmative, the bidder/offeror shall make an immediate and full written disclosure to the PHA Contracting Officer.

(c) Any misrepresentation by the bidder/offeror shall give the PHA the right to (1) terminate the resultant contract; (2) at its discretion, to deduct from contract payments the amount of any commission, percentage, brokerage, or other contingent fee; or (3) take other remedy pursuant to the contract.

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- (a) is, is not a small business concern. "Small business concern," as used in this provision, means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding, and qualified as a small business under the criteria and size standards in 13 CFR 121.
- (b) is, is not a women-owned small business concern. "Women-owned," as used in this provision, means a small business that is at least 51 percent owned by a woman or women who are U.S. citizens and who also control and operate the business.
- (c) is, is not a minority enterprise which, pursuant to Executive Order 11625, is defined as a business which is at least 51 percent owned by one or more minority group members or, in the case of a publicly owned business, at least 51 percent of its voting stock is owned by one or more minority group members, and whose management and daily operations are controlled by one or more such individuals.

For the purpose of this definition, minority group members are:

(Check the block applicable to you)

- | | |
|---|---|
| <input type="checkbox"/> Black Americans | <input type="checkbox"/> Asian Pacific Americans |
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- (1) The prices in this bid/offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other bidder/offeror or competitor relating to (i) those prices, (ii) the intention to submit a bid/offer, or (iii) the methods or factors used to calculate the prices offered;
- (2) The prices in this bid/offer have not been and will not be knowingly disclosed by the bidder/offeror, directly or indirectly, to any other bidder/offeror or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and
- (3) No attempt has been made or will be made by the bidder/offeror to induce any other concern to submit or not to submit a bid/offer for the purpose of restricting competition.

(b) Each signature on the bid/offer is considered to be a certification by the signatory that the signatory:

- (1) Is the person in the bidder/offeror's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above; or
- (2) (i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above (insert full name of person(s) in the bidder/offeror's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the bidder/offeror's organization);
(ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and

(iii) As an agent, has not personally participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above.

- (c) If the bidder/offeror deletes or modifies subparagraph (a)2 above, the bidder/offeror must furnish with its bid/offer a signed statement setting forth in detail the circumstances of the disclosure.

4. Organizational Conflicts of Interest Certification

- (a) The Contractor warrants that to the best of its knowledge and belief and except as otherwise disclosed, it does not have any organizational conflict of interest which is defined as a situation in which the nature of work under a proposed contract and a prospective contractor's organizational, financial, contractual or other interest are such that:
- (i) Award of the contract may result in an unfair competitive advantage;
 - (ii) The Contractor's objectivity in performing the contract work may be impaired; or
 - (iii) That the Contractor has disclosed all relevant information and requested the HA to make a determination with respect to this Contract.
- (b) The Contractor agrees that if after award he or she discovers an organizational conflict of interest with respect to this contract, he or she shall make an immediate and full disclosure in writing to the HA which shall include a description of the action which the Contractor has taken or intends to eliminate or neutralize the conflict. The HA may, however, terminate the Contract for the convenience of HA if it would be in the best interest of HA.
- (c) In the event the Contractor was aware of an organizational conflict of interest before the award of this Contract and intentionally did not disclose the conflict to the HA, the HA may terminate the Contract for default.
- (d) The Contractor shall require a disclosure or representation from subcontractors and consultants who may be in a position to influence the advice or assistance rendered to the HA and shall include any necessary provisions to eliminate or neutralize conflicts of interest in consultant agreements or subcontracts involving performance or work under this Contract.

5. Authorized Negotiators (RFPs only)

The offeror represents that the following persons are authorized to negotiate on its behalf with the PHA in connection with this request for proposals: (list names, titles, and telephone numbers of the authorized negotiators):

6. Conflict of Interest

In the absence of any actual or apparent conflict, the offeror, by submission of a proposal, hereby warrants that to the best of its knowledge and belief, no actual or apparent conflict of interest exists with regard to my possible performance of this procurement, as described in the clause in this solicitation titled "Organizational Conflict of Interest."

7. Offeror's Signature

The offeror hereby certifies that the information contained in these certifications and representations is accurate, complete, and current.

Signature & Date:

Typed or Printed Name:

Title:

General Conditions for Non-Construction Contracts

Section I – (With or without Maintenance Work)

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Office of Labor Relations

OMB Approval No. 2577-0157 (exp. 11/30/2023)

Public Reporting Burden for this collection of information is estimated to average one hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not conduct or sponsor, and an applicant is not required to respond to a collection of information unless it displays a currently valid OMB control number.

Applicability. This form HUD-5370-C has 2 Sections. These Sections must be inserted into non-construction contracts as described below:

- 1) **Non-construction contracts** (*without* maintenance) **greater than \$150,000 - use Section I;**
- 2) **Maintenance contracts** (including nonroutine maintenance as defined at 24 CFR 905.100) **greater than \$2,000 but not more than \$150,000 - use Section II;** and
- 3) **Maintenance contracts** (including nonroutine maintenance), **greater than \$150,000 – use Sections I and II.**

Section I - Clauses for All Non-Construction Contracts greater than \$150,000

1. Definitions

The following definitions are applicable to this contract:

- (a) "Authority or Housing Authority (HA)" means the Housing Authority.
- (b) "Contract" means the contract entered into between the Authority and the Contractor. It includes the contract form, the Certifications and Representations, these contract clauses, and the scope of work. It includes all formal changes to any of those documents by addendum, Change Order, or other modification.
- (c) "Contractor" means the person or other entity entering into the contract with the Authority to perform all of the work required under the contract.
- (d) "Day" means calendar days, unless otherwise stated.
- (e) "HUD" means the Secretary of Housing and Urban development, his delegates, successors, and assigns, and the officers and employees of the United States Department of Housing and Urban Development acting for and on behalf of the Secretary.

2. Changes

- (a) The HA may at any time, by written order, and without notice to the sureties, if any, make changes within the general scope of this contract in the services to be performed or supplies to be delivered.
- (b) If any such change causes an increase or decrease in the hourly rate, the not-to-exceed amount of the contract, or the time required for performance of any part of the work under this contract, whether or not changed by the order, or otherwise affects the conditions of this contract, the HA shall make an equitable adjustment in the not-to-exceed amount, the hourly rate, the delivery schedule, or other affected terms, and shall modify the contract accordingly.
- (c) The Contractor must assert its right to an equitable adjustment under this clause within 30 days from the date of receipt of the written order. However, if the HA decides that the facts justify it, the HA may receive and act upon a

- proposal submitted before final payment of the contract.
- (d) Failure to agree to any adjustment shall be a dispute under clause Disputes, herein. However, nothing in this clause shall excuse the Contractor from proceeding with the contract as changed.
 - (e) No services for which an additional cost or fee will be charged by the Contractor shall be furnished without the prior written consent of the HA.

3. Termination for Convenience and Default

- (a) The HA may terminate this contract in whole, or from time to time in part, for the HA's convenience or the failure of the Contractor to fulfill the contract obligations (default). The HA shall terminate by delivering to the Contractor a written Notice of Termination specifying the nature, extent, and effective date of the termination. Upon receipt of the notice, the Contractor shall: (i) immediately discontinue all services affected (unless the notice directs otherwise); and (ii) deliver to the HA all information, reports, papers, and other materials accumulated or generated in performing this contract, whether completed or in process.
- (b) If the termination is for the convenience of the HA, the HA shall be liable only for payment for services rendered before the effective date of the termination.
- (c) If the termination is due to the failure of the Contractor to fulfill its obligations under the contract (default), the HA may (i) require the Contractor to deliver to it, in the manner and to the extent directed by the HA, any work as described in subparagraph (a)(ii) above, and compensation be determined in accordance with the Changes clause, paragraph 2, above; (ii) take over the work and prosecute the same to completion by contract or otherwise, and the Contractor shall be liable for any additional cost incurred by the HA; (iii) withhold any payments to the Contractor, for the purpose of off-set or partial payment, as the case may be, of amounts owed to the HA by the Contractor.
- (d) If, after termination for failure to fulfill contract obligations (default), it is determined that the Contractor had not failed, the termination shall be deemed to have been effected for the convenience of the HA, and the Contractor shall be entitled to payment as described in paragraph (b) above.
- (e) Any disputes with regard to this clause are expressly made subject to the terms of clause titled Disputes herein.

4. Examination and Retention of Contractor's Records

- (a) The HA, HUD, or Comptroller General of the United States, or any of their duly authorized representatives shall, until 3 years after final payment under this contract, have access to and the right to examine any of the Contractor's directly pertinent books, documents, papers, or other records involving transactions related to this contract for the purpose of making audit, examination, excerpts, and transcriptions.

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- (b) The Contractor agrees to include in first-tier subcontracts under this contract a clause substantially the same as paragraph (a) above. "Subcontract," as used in this clause, excludes purchase orders not exceeding \$10,000.
- (c) The periods of access and examination in paragraphs (a) and (b) above for records relating to:
- (i) appeals under the clause titled Disputes;
 - (ii) litigation or settlement of claims arising from the performance of this contract; or,
 - (iii) costs and expenses of this contract to which the HA, HUD, or Comptroller General or any of their duly authorized representatives has taken exception shall continue until disposition of such appeals, litigation, claims, or exceptions.

5. Rights in Data (Ownership and Proprietary Interest)

The HA shall have exclusive ownership of, all proprietary interest in, and the right to full and exclusive possession of all information, materials and documents discovered or produced by Contractor pursuant to the terms of this Contract, including but not limited to reports, memoranda or letters concerning the research and reporting tasks of this Contract.

6. Energy Efficiency

The contractor shall comply with all mandatory standards and policies relating to energy efficiency which are contained in the energy conservation plan issued in compliance with the Energy Policy and Conservation Act (Pub.L. 94-163) for the State in which the work under this contract is performed.

7. Disputes

- (a) All disputes arising under or relating to this contract, except for disputes arising under clauses contained in Section III, Labor Standards Provisions, including any claims for damages for the alleged breach there of which are not disposed of by agreement, shall be resolved under this clause.
- (b) All claims by the Contractor shall be made in writing and submitted to the HA. A claim by the HA against the Contractor shall be subject to a written decision by the HA.
- (c) The HA shall, with reasonable promptness, but in no event in no more than 60 days, render a decision concerning any claim hereunder. Unless the Contractor, within 30 days after receipt of the HA's decision, shall notify the HA in writing that it takes exception to such decision, the decision shall be final and conclusive.
- (d) Provided the Contractor has (i) given the notice within the time stated in paragraph (c) above, and (ii) excepted its claim relating to such decision from the final release, and (iii) brought suit against the HA not later than one year after receipt of final payment, or if final payment has not been made, not later than one year after the Contractor has had a reasonable time to respond to a written request by the HA that it submit a final voucher and release, whichever is earlier, then the HA's decision shall not be final or conclusive, but the dispute shall be determined on the merits by a court of competent jurisdiction.
- (e) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under the contract, and comply with any decision of the HA.

8. Contract Termination; Debarment

A breach of these Contract clauses may be grounds for termination of the Contract and for debarment or denial of participation in HUD programs as a Contractor and a subcontractor as provided in 24 CFR Part 24.

9. Assignment of Contract

The Contractor shall not assign or transfer any interest in this contract; except that claims for monies due or to become due from the HA under the contract may be assigned to a bank, trust company, or other financial institution. If the Contractor is a partnership, this contract shall inure to the benefit of the surviving or remaining member(s) of such partnership approved by the HA.

10. Certificate and Release

Prior to final payment under this contract, or prior to settlement upon termination of this contract, and as a condition precedent thereto, the Contractor shall execute and deliver to the HA a certificate and release, in a form acceptable to the HA, of all claims against the HA by the Contractor under and by virtue of this contract, other than such claims, if any, as may be specifically excepted by the Contractor in stated amounts set forth therein.

11. Organizational Conflicts of Interest

- (a) The Contractor warrants that to the best of its knowledge and belief and except as otherwise disclosed, it does not have any organizational conflict of interest which is defined as a situation in which the nature of work under this contract and a contractor's organizational, financial, contractual or other interests are such that:
 - (i) Award of the contract may result in an unfair competitive advantage; or
 - (ii) The Contractor's objectivity in performing the contract work may be impaired.
- (b) The Contractor agrees that if after award it discovers an organizational conflict of interest with respect to this contract or any task/delivery order under the contract, he or she shall make an immediate and full disclosure in writing to the Contracting Officer which shall include a description of the action which the Contractor has taken or intends to take to eliminate or neutralize the conflict. The HA may, however, terminate the contract or task/delivery order for the convenience of the HA if it would be in the best interest of the HA.
- (c) In the event the Contractor was aware of an organizational conflict of interest before the award of this contract and intentionally did not disclose the conflict to the Contracting Officer, the HA may terminate the contract for default.
- (d) The terms of this clause shall be included in all subcontracts and consulting agreements wherein the work to be performed is similar to the service provided by the prime Contractor. The Contractor shall include in such subcontracts and consulting agreements any necessary provisions to eliminate or neutralize conflicts of interest.

12. Inspection and Acceptance

- (a) The HA has the right to review, require correction, if necessary, and accept the work products produced by the Contractor. Such review(s) shall be carried out within 30 days so as to not impede the work of the Contractor. Any

product of work shall be deemed accepted as submitted if the HA does not issue written comments and/or required corrections within 30 days from the date of receipt of such product from the Contractor.

- (b) The Contractor shall make any required corrections promptly at no additional charge and return a revised copy of the product to the HA within 7 days of notification or a later date if extended by the HA.
- (c) Failure by the Contractor to proceed with reasonable promptness to make necessary corrections shall be a default. If the Contractor's submission of corrected work remains unacceptable, the HA may terminate this contract (or the task order involved) or reduce the contract price or cost to reflect the reduced value of services received.

13. Interest of Members of Congress

No member of or delegate to the Congress of the United States of America or Resident Commissioner shall be admitted to any share or part of this contract or to any benefit to arise there from, but this provision shall not be construed to extend to this contract if made with a corporation for its general benefit.

14. Interest of Members, Officers, or Employees and Former Members, Officers, or Employees

No member, officer, or employee of the HA, no member of the governing body of the locality in which the project is situated, no member of the governing body in which the HA was activated, and no other public official of such locality or localities who exercises any functions or responsibilities with respect to the project, shall, during his or her tenure, or for one year thereafter, have any interest, direct or indirect, in this contract or the proceeds thereof.

15. Limitation on Payments to Influence Certain Federal Transactions

(a) Definitions. As used in this clause:

"Agency", as defined in 5 U.S.C. 552(f), includes Federal executive departments and agencies as well as independent regulatory commissions and Government corporations, as defined in 31 U.S.C. 9101(1).

"Covered Federal Action" means any of the following Federal actions:

- (i) The awarding of any Federal contract;
- (ii) The making of any Federal grant;
- (iii) The making of any Federal loan;
- (iv) The entering into of any cooperative agreement; and,
- (v) The extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

Covered Federal action does not include receiving from an agency a commitment providing for the United States to insure or guarantee a loan.

"Indian tribe" and "tribal organization" have the meaning provided in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B). Alaskan Natives are included under the definitions of Indian tribes in that Act.

"Influencing or attempting to influence" means making, with the intent to influence, any communication to or appearance before an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any covered Federal action.

"Local government" means a unit of government in a State and, if chartered, established, or otherwise recognized by a State for the performance of a governmental duty, including a local public authority, a special district, an intrastate district, a council of governments, a sponsor group representative organization, and any other instrumentality of a local government.

"Officer or employee of an agency" includes the following individuals who are employed by an agency:

- (i) An individual who is appointed to a position in the Government under title 5, U.S.C., including a position under a temporary appointment;
- (ii) A member of the uniformed services as defined in section 202, title 18, U.S.C.;
- (iii) A special Government employee as defined in section 202, title 18, U.S.C.; and,
- (iv) An individual who is a member of a Federal advisory committee, as defined by the Federal Advisory Committee Act, title 5, appendix 2.

"Person" means an individual, corporation, company, association, authority, firm, partnership, society, State, and local government, regardless of whether such entity is operated for profit or not for profit. This term excludes an Indian tribe, tribal organization, or other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Recipient" includes all contractors, subcontractors at any tier, and subgrantees at any tier of the recipient of funds received in connection with a Federal contract, grant, loan, or cooperative agreement. The term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Regularly employed means, with respect to an officer or employee of a person requesting or receiving a Federal contract, grant, loan, or cooperative agreement, an officer or employee who is employed by such person for at least 130 working days within one year immediately preceding the date of the submission that initiates agency consideration of such person for receipt of such contract, grant, loan, or cooperative agreement. An officer or employee who is employed by such person for less than 130 working days within one year immediately preceding the date of submission that initiates agency consideration of such person shall be considered to be regularly employed as soon as he or she is employed by such person for 130 working days.

"State" means a State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, a territory or possession of the United States, an agency or instrumentality of a State, and a multi-State, regional, or interstate entity having governmental duties and powers.

(b) Prohibition.

- (i) Section 1352 of title 31, U.S.C. provides in part that no appropriated funds may be expended by the recipient of a Federal contract, grant, loan, or cooperative agreement to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(ii) The prohibition does not apply as follows:

(1) Agency and legislative liaison by Own Employees.

(a) The prohibition on the use of appropriated funds, in paragraph (i) of this section, does not apply in the case of a payment of reasonable compensation made to an officer or employee of a person requesting or receiving a Federal contract, grant, loan, or cooperative agreement, if the payment is for agency and legislative activities not directly related to a covered Federal action.

(b) For purposes of paragraph (b)(i)(1)(a) of this clause, providing any information specifically requested by an agency or Congress is permitted at any time.

(c) The following agency and legislative liaison activities are permitted at any time only where they are not related to a specific solicitation for any covered Federal action:

(1) Discussing with an agency (including individual demonstrations) the qualities and characteristics of the person's products or services, conditions or terms of sale, and service capabilities; and,

(2) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.

(d) The following agency and legislative liaison activities are permitted where they are prior to formal solicitation of any covered Federal action:

(1) Providing any information not specifically requested but necessary for an agency to make an informed decision about initiation of a covered Federal action;

(2) Technical discussions regarding the preparation of an unsolicited proposal prior to its official submission; and

(3) Capability presentations by persons seeking awards from an agency pursuant to the provisions of the Small Business Act, as amended by Public Law 95-507 and other subsequent amendments.

(e) Only those activities expressly authorized by subdivision (b)(ii)(1)(a) of this clause are permitted under this clause.

(2) Professional and technical services.

(a) The prohibition on the use of appropriated funds, in subparagraph (b)(i) of this clause, does not apply in the case of-

(i) A payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action, if payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action.

(ii) Any reasonable payment to a person, other than an officer or employee of a

person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action if the payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action. Persons other than officers or employees of a person requesting or receiving a covered Federal action include consultants and trade associations.

(b) For purposes of subdivision (b)(ii)(2)(a) of clause, "professional and technical services" shall be limited to advice and analysis directly applying any professional or technical discipline.

(c) Requirements imposed by or pursuant to law as a condition for receiving a covered Federal award include those required by law or regulation, or reasonably expected to be required by law or regulation, and any other requirements in the actual award documents.

(d) Only those services expressly authorized by subdivisions (b)(ii)(2)(a)(i) and (ii) of this section are permitted under this clause.

(iii) Selling activities by independent sales representatives.

(c) The prohibition on the use of appropriated funds, in subparagraph (b)(i) of this clause, does not apply to the following selling activities before an agency by independent sales representatives, provided such activities are prior to formal solicitation by an agency and are specifically limited to the merits of the matter:

(i) Discussing with an agency (including individual demonstration) the qualities and characteristics of the person's products or services, conditions or terms of sale, and service capabilities; and

(ii) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.

(d) Agreement. In accepting any contract, grant, cooperative agreement, or loan resulting from this solicitation, the person submitting the offer agrees not to make any payment prohibited by this clause.

(e) Penalties. Any person who makes an expenditure prohibited under paragraph (b) of this clause shall be subject to civil penalties as provided for by 31 U.S.C. 1352. An imposition of a civil penalty does not prevent the Government from seeking any other remedy that may be applicable.

(f) Cost Allowability. Nothing in this clause is to be interpreted to make allowable or reasonable any costs which would be unallowable or unreasonable in accordance with Part 31 of the Federal Acquisition Regulation (FAR), or OMB Circulars dealing with cost allowability for recipients of assistance agreements. Conversely, costs made specifically unallowable by the requirements in this clause will not be made allowable under any of the provisions of FAR Part 31 or the relevant OMB Circulars.

16. Equal Employment Opportunity

During the performance of this contract, the

Contractor/Seller agrees as follows:

(a) The [contractor/seller] will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, disability, or national origin. The

[contractor/seller] will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, sexual orientation, gender identity, disability, or national origin. Such action shall include, but not be limited to the following: Employment, upgrading, demotion, or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The [contractor/seller] agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the contracting officer setting forth the provisions of this nondiscrimination clause.

(b) The [contractor/seller] will, in all solicitations or advertisements for employees placed by or on behalf of the [contractor/seller], state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, disability, or national origin.

(c) The [contractor/seller] will not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the [contractor/seller]'s legal duty to furnish information.

(d) The [contractor/seller] will send to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, a notice to be provided by the agency contracting officer, advising the labor union or workers' representative of the [contractor/seller]'s commitments under section 202 of Executive Order 11246 of September 24, 1965, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

(e) The [contractor/seller] will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.

(f) The [contractor/seller] will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by the rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the contracting agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

(g) In the event of the [contractor/seller]'s non-compliance with the nondiscrimination clauses of this contract or with any of such rules, regulations, or orders, this contract may be canceled, terminated or suspended in whole or in part and the [contractor/seller] may be declared ineligible for further Government contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

(g) In the event of the [contractor/seller]'s non-compliance with the nondiscrimination clauses of this contract or with any of such rules, regulations, or orders, this contract may be canceled, terminated or suspended in whole or in part and the [contractor/seller] may be declared ineligible for further Government contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

(h) The [contractor/seller] will include the provisions of paragraphs (a) through (h) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each sub[contractor/seller] or vendor. The [contractor/seller] will take such action with respect to any subcontract or purchase order as may be directed by the Secretary of Labor as a means of enforcing such provisions including sanctions for noncompliance: Provided, however, that in the event the [contractor/seller] becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction, the [contractor/seller] may request the United States to enter into such litigation to protect the interests of the United States.

17. Equal Opportunity for Workers with Disabilities

1. The [contractor/seller] will not discriminate against any employee or applicant for employment because of physical or mental disability in regard to any position for which the employee or applicant for employment is qualified. The [contractor/seller] agrees to take affirmative action to employ and advance in employment individuals with disabilities, and to treat qualified individuals without discrimination on the basis of their physical or mental disability in all employment practices, including the following:

- i. Recruitment, advertising, and job application procedures;
- ii. Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff and rehiring;
- iii. Rates of pay or any other form of compensation and changes in compensation;
- iv. Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists;
- v. Leaves of absence, sick leave, or any other leave;
- vi. Fringe benefits available by virtue of employment, whether or not administered by the [contractor/seller];
- vii. Selection and financial support for training, including apprenticeship, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training;
- viii. Activities sponsored by the [contractor/seller] including social or recreational programs; and
- ix. Any other term, condition, or privilege of employment.

2. The [contractor/seller] agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the act.

3. In the event of the [contractor/seller] noncompliance with the requirements of this clause, actions for noncompliance may be taken in accordance with the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the act.

4. The [contractor/seller] agrees to post in conspicuous places, available to employees and applicants for employment, notices in a form to be prescribed by the Director, Office of Federal Contract Compliance Programs, provided by or through the contracting officer. Such notices shall state the rights of applicants and employees as well as the [contractor/seller]'s obligation under the law to take affirmative action to employ and advance in employment qualified employees and applicants with disabilities.

The [contractor/seller] must ensure that applicants or employees with disabilities are provided the notice in a form that is accessible and understandable to the individual applicant or employee (e.g., providing Braille or large print versions of the notice, or posting a copy of the notice at a lower height for easy viewing by a person using a wheelchair). With respect to employees who do not work at a physical location of the [contractor/seller], a [contractor/seller] will satisfy its posting obligations by posting such notices in an electronic format, provided that the [contractor/seller] provides computers, or access to computers, that can access the electronic posting to such employees, or the [contractor/seller] has actual knowledge that such employees otherwise are able to access the electronically posted notices. Electronic notices for employees must be posted in a conspicuous location and format on the company's intranet or sent by electronic mail to employees. An electronic posting must be used by the [contractor/seller] to notify job applicants of their rights if the [contractor/seller] utilizes an electronic application process. Such electronic applicant notice must be conspicuously stored with, or as part of, the electronic application.

5. The [contractor/seller] will notify each labor organization or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the [contractor/seller] is bound by the terms of section 503 of the Rehabilitation Act of 1973, as amended, and is committed to take affirmative action to employ and advance in employment, and shall not discriminate against, individuals with physical or mental disabilities.

6. The [contractor/seller] will include the provisions of this clause in every subcontract or purchase order in excess of \$ 10,000, unless exempted by the rules, regulations, or orders of the Secretary issued pursuant to section 503 of the act, as amended, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the Director, Office of Federal Contract Compliance Programs may direct to enforce such provisions, including action for noncompliance.

7. The [contractor/seller] must, in all solicitations or advertisements for employees placed by or on behalf of the [contractor/seller], state that all qualified applicants will receive consideration for employment and will not be discriminated against on the basis of disability.

18. Dissemination or Disclosure of Information

No information or material shall be disseminated or disclosed to the general public, the news media, or any person or organization without prior express written approval by the HA.

19. Contractor's Status

It is understood that the Contractor is an independent contractor and is not to be considered an employee of the HA, or assume any right, privilege or duties of an employee, and shall save harmless the HA and its employees from claims suits, actions and costs of every description resulting from the Contractor's activities on behalf of the HA in connection with this Agreement.

20. Other Contractors

HA may undertake or award other contracts for additional work at or near the site(s) of the work under this contract. The contractor shall fully cooperate with the other contractors and with HA and HUD employees and shall carefully adapt scheduling and performing the work under this contract to accommodate the additional work, heeding any direction that may be provided by the Contracting Officer. The contractor shall not commit or permit any act that will interfere with the performance of work by any other contractor or HA employee.

21. Liens

The Contractor is prohibited from placing a lien on HA's property. This prohibition shall apply to all subcontractors.

22. Training and Employment Opportunities for Residents in the Project Area (Section 3, HUD Act of 1968; 24 CFR 135)

- (a) The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- (b) The parties to this contract agree to comply with HUD's regulations in 24 CFR Part 135, which implement section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the Part 135 regulations.
- (c) The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.
- (d) The contractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR Part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR Part 135.
- (e) Noncompliance with HUD's regulations in 24 CFR Part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts
- (f) Noncompliance with HUD's regulations in 24 CFR Part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

22. Procurement of Recovered Materials

- (a) In accordance with Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act, the Contractor shall procure items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR Part 247 that contain the highest percentage of

recovered materials practicable consistent with maintaining a satisfactory level of competition. The Contractor shall procure items designated in the EPA guidelines that contain the highest percentage of recovered materials practicable unless the Contractor determines that such items: (1) are not reasonably available in a reasonable period of time; (2) fail to meet reasonable performance standards, which shall be determined on the basis of the guidelines of the National Institute of Standards and Technology, if applicable to the item; or (3) are only available at an unreasonable price.

- (b) Paragraph (a) of this clause shall apply to items purchased under this contract where: (1) the Contractor purchases in excess of \$10,000 of the item under this contract; or (2) during the preceding Federal fiscal year, the Contractor: (i) purchased any amount of the items for use under a contract that was funded with Federal appropriations and was with a Federal agency or a State agency or agency of a political subdivision of a State; and (ii) purchased a total of in excess of \$10,000 of the item both under and outside that contract

BUSINESS REFERENCES

Please provide a minimum of three (3) references and a brief description of the business relationship. Additional pages may be used, if necessary.

Company Name: _____
Contact Person: _____
Address: _____
City, State, Zip: _____
Email: _____
Description: _____

Company Name: _____
Contact Person: _____
Address: _____
City, State, Zip: _____
Email: _____
Description: _____

Company Name: _____
Contact Person: _____
Address: _____
City, State, Zip: _____
Email: _____
Description: _____

**FORM OF NON-COLLUSIVE AFFIDAVIT
(PRIME BIDDER)**

State of _____

County _____, being first duly sworn, deposes and says:

That he/she is _____, the party making the foregoing proposal or bid, and attests to the following:

- (1) That affiant employed no person, confirmation, firm, association, or other organization, either directly or indirectly, to secure the public contract under which he received payment, other than persons regularly employed by the Affiant whose services in connection with the construction of the public building or project in securing the public contract were in the regular course of their duties for Affiant; and
- (2) That no part of the contract price received by Affiant was paid to any person, corporation, firm, association or other organization for soliciting the contract, other than the payment of their normal compensation to persons regularly employed by the Affiant whose services in connection with the construction of the public building or project were in the regular course of their duties for Affiant.
- (3) That such proposal or bid is genuine and not collusive or sham; that said Bidder has not colluded, conspired, connived, or agreed, directly or indirectly, with any Bidder or person, to put in a sham bid or try to refrain from bidding, and has not in any manner directly or indirectly, sought by agreement or collusion, or communication or conference, with any person, to fix the bid price of Affiant or of any other Bidder, or to fix any overhead, profit, or cost element of said bid price, or of that of any other Bidder, or to secure any advantage against the Housing Authority or any person interested in the proposed contract; and that all statements in said proposal or bid are true.

Signature*

*Bidder if the Bidder is an individual; all partners if Bidder is a partnership; officer if the Bidder is a corporation.

SUBSCRIBED AND SWORN TO before me, this the _____ day of _____, 20_____.

NOTARY PUBLIC

My Commission Expires: _____, 20_____

CONFLICT OF INTEREST QUESTIONNAIRE FORM CIQ

For vendor or other person doing business with local governmental entity

This questionnaire reflects changes made to the law by H.B. 1491, 80th Leg., Regular Session.

OFFICE USE ONLY

Date Received

This questionnaire is being in accordance with Chapter 176, Local Government Code by a person who has a business relationship as defined by Section 176.00 (1-a) with a local governmental entity and the person meets requirements under Section 176.006 (a).

By law this questionnaire must be filed with the record administrator of the local Governmental entity not later than the 7th business day after the date the person becomes aware of facts that require the statement to be filed. See Section 176.006, Local Government Code.

A person commits an offense if the person knowingly violates Section 176.006, Local Government Code. An offense under this section is a Class C misdemeanor.

1. Name of person who has a business relationship with local governmental entity.

2. Check this box if you are filing an update to a previously filed questionnaire.

(The law requires that you file an update completed questionnaire with the appropriate filing authority not later than the 7th business day after the date the originally filed questionnaire becomes incomplete or inaccurate.)

3. Name of local government officer with whom filer has employment or business relationship.

 Name of Officer

This section (item 3 including subparts A, B, C & D) must be completed for each officer with whom the filer has an employment or other business relationship as defined by Section 176.001 (1-a), Local Government Code. Attach additional pages to this Form CIQ as necessary.

A. Is the local government officer named in this section receiving or likely to receive taxable income, other than investment income, from the filer of the questionnaire?

Yes No

B. Is the filer of the questionnaire receiving or likely to receive taxable income, other than investment income, from or at the direction of the local government officer named in this section AND the taxable income is not received from the local governmental entity?

Yes No

C. Is the filer of this questionnaire employed by a corporation or other business entity with respect to which the Local government officer serves as an officer or director, or holds an ownership of 10 percent or more?

Yes No

D. Describe each employment relationship with the local government officer named in this section.

4.

 Signature of person doing business with the governmental entity

 Date

Note: A completed Profile of Firm Form must be submitted for each subcontractor.

Proposed Subcontractors					
Item	Company Name	Address	Phone	Specialty	W/M/BE
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
I understand and agree that if awarded a contract as a result of this solicitation that the use of the above subcontractors is subject to the approval of FWHS and becomes a part of the contract. I further understand that any change in subcontractors also requires the pre-approval of FWHS.			_____ (Signature)		
			_____ (Printed Name & Title)		

Note: A completed Profile of Firm Form must be submitted for each subcontractor

PROFILE OF FIRM FORM (Page 1 of 2)

(This Form must be fully completed by all Proposers and Subcontractors)

(1) Prime Joint Venture/Partner Sub-contractor (This form shall be completed by and for each).

(2) Name of Firm: _____ Telephone: _____ Fax: _____

(3) Street Address, City, State, Zip: _____

(4) Identify Principals/Partners in Firm

NAME	TITLE	% OF OWNERSHIP

(5) Please indicate the operating structure of your company.

- Publicly Held Corporation
 Privately Held Corporation
 Government Agency
 Non-Profit Organization
 Partnership
 Sole Proprietorship

(6) Respondent's Diversity Statement: You must check all of the following that apply to the ownership of this firm and enter where provided the correct percentage (%) of ownership of each:

Minority- (MBE), or Woman-Owned (WBE) Business Enterprises qualify by virtue of 51% or more Ownership and active management by one or more of the following:

- African American _____%
 **Native American _____%
 Hispanic American _____%
 Asian/Pacific American _____%
 Hasidic Jew _____%
 Asian/Indian American _____%

- Woman-Owned (MBE)
 Woman-Owned (Caucasian)
 Disabled Veteran
 Caucasian American (Male)
 Other (Specify):

(7) Is the business 51% or more owned by a public housing resident? Yes No. If yes, provide name and address of the public housing facility:

Facility Name: _____

Facility Address: _____ City: _____

SWMBE Certification Number: _____

Certification Agency: _____

(Note: A CERTIFICATION/NUMBER IS NOT REQUIRED – ENTER IF AVAILABLE)

PROFILE OF FIRM FORM (Page 2 of 2)

(Form must be completed, 9-10 if applicable)

(8) Federal Tax ID Number: _____

(9) City of Fort Worth Business License No: _____

(10) State of Texas License Type and No: _____

(11) Has your firm or any member of your firm been a party to litigation with a public entity? If yes, when, with whom and state the circumstances and any resolution.

Yes No

(12) Has your firm or any member of your firm ever sued or been sued by the Fort Worth Housing Solutions or its affiliated entities? If yes, when and state the circumstances and any resolution of the lawsuit.

Yes No

(13) Has your firm or any member of your firm ever had a claim brought against because of breach of contract or Non-performance? If yes, when and state the circumstances and any resolution of the matter.

Yes No

(14) Debarred Statement: Has this firm, or any principal(s) ever been debarred from providing any services by the Federal Government, any state government, the State of Texas, or any local Government agency within or without the State of Texas? If "Yes," please attach a full detailed explanation, including dates, circumstances and current status.

Yes No

(15) Disclosure Statement: Does this firm or any principals thereof have any current, past, personal or Professional relationship with any Commissioner or Officer of FWHA? If "Yes," please attach a full detailed explanation, including dates, circumstances and current status.

Yes No

(16) Verification Statement: The undersigned Offeror hereby states that by completing and submitting this form he/she is verifying that all information provided herein is, to the best of his/her knowledge, true and accurate, and agrees that if the FWHS discovers that any information entered herein is false, that shall entitle the FWHS to not consider nor make award or to cancel any award with the undersigned party.

Signature

Date

Printed Name

Company

M/WBE PARTICIPATION

M/WBE PARTICIPATION: The Offeror agrees to use his/her best efforts to subcontract with minority business enterprises and/or women business enterprises (herein called M/WBE) certified as such or recognized by Fort Worth Housing Solutions as a certified M/WBE. Offeror shall make a good faith effort to subcontract a sufficient dollar amount with M/WBEs to ensure that a minimum of 20 percent of the final contract dollars are expended on one or more M/WBE. All adjustments that cause the contract price to increase will also increase the total amount that the Offeror must make a good faith effort to expend on M/WBEs.

USING BEST EFFORTS TO FULFILL M/WBE REQUIREMENT: In the event Fort Worth Housing Solutions has a reasonable belief that Offeror will not use his/her its best efforts to meet the 20 percent M/WBE participation goal, Fort Worth Housing Solutions reserves the right to pull work from the contract. Best efforts may be established by a showing that Offeror has contacted and solicited bids/quotes from subcontractors and worked with the Housing Authority to seek assistance in identifying M/WBEs.

NOTIFICATION OF M/WBE PARTICIPATION: Offeror agrees to promptly complete and return all M/WBE Confirmation of Participation and M/WBE Confirmation of Payment forms utilized by Fort Worth Housing Solutions to confirm M/WBE subcontracting by submitting copies of checks made payable to the respective M/WBE subcontractor(s) signed by the offeror.

RESPONDENT

DATE

Note: This form must bear a signature.

EQUAL EMPLOYMENT OPPORTUNITY – EMPLOYER INFORMATION REPORT

Name of Firm: _____
 Address: _____
 City, State, ZIP: _____
 Telephone: _____

JOB CATEGORIES	MALE					FEMALE				
	WHITE (Not of Hispanic Origin)	BLACK (Not of Hispanic Origin)	HISPANIC	ASIAN OR PACIFIC ISLANDER	AMERICAN INDIAN OR ALASKAN NATIVE	WHITE (Not of Hispanic Origin)	BLACK (Not of Hispanic Origin)	HISPANIC	ASIAN OR PACIFIC ISLANDER	AMERICAN INDIAN OR ALASKAN NATIVE
OVERALL TOTALS										
Officials and Managers										
Professionals										
Technicians										
Sales Workers										
Office and Clericals										
Craft Workers (Skilled)										
Operatives (Semi-Skilled)										
Labors										
Service Workers										
TOTAL										

Signature: _____ Date: _____

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YY)

THIS CERTIFICATE IS ISSUED AS MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.

Date the Certificate is issued

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER

1 This block identifies the Agent or Broker and their address.

2 This block may include contact information for the broker / agency. It's often helpful to contact the broker directly for clarification, revision requests or renewal certificates. Some agencies will only communicate with their insureds and do not allow or respond to 3rd requests.

INSURER(S) AFFORDING COVERAGE

3 The insurance company will be identified here. The insurer letter appears again in the left-hand margin near the center of the page (***3**) to show which insurer provides which type of coverage.

INSURED

4 The Insured is Vendor, Contractor or lessee (the policy holder).

COVERAGES

THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS
*3	GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT				EACH OCCURRENCE \$ FIRE DAMAGE (Any one fire) \$ MED EXPENSE (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS-COMP/OP AGG \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS				COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ AUTO ONLY-EA ACCIDENT \$ OTHER THAN AUTO ONLY: EA ACC \$ AGG \$
*3	GARAGE LIABILITY <input type="checkbox"/> ANY AUTO				EACH OCCURRENCE \$ AGGREGATE \$
*3	EXCESS LIABILITY <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE DEDUCTIBLE RETENTION \$				WC STATUTORY LIMITS OTH-ER E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
*3	WORKERS' COMPENSATION AND EMPLOYERS LIABILITY				
	OTHER				

5 These sections show only the coverages provided through the agent or broker identified in "1" above. If the insured uses more than one broker, a separate certificate is needed to show those coverages.

6 These two column shows inception and expiration dates for policies identified. Pay special attention that coverage does not expire before or during your project or lease.

The coverages & limits required in the solicitation, or those which are applicable to the projects scope of work MUST be included on the certificate.

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/EXCLUSIONS ADDED BY ENDORSEMENT/SPECIAL/PROVISIONS

7 Forth Worth Housing Solutions is endorsed as additional insured on the general and auto liability policies on a primary and non-contributory basis.

CERTIFICATE HOLDER

8 [Firm Name]
 [Address]
 ATTN: PM contact or Procurement Team

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL _____ DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.

AUTHORIZED REPRESENTATIVE

9 Must be signed by an authorized representative of the brokerage agency.



EXHIBIT C.9

* Form 1295 Certificate of Interested Parties *

*(Form 1295 is to be completed online by the *Selected Respondent* and submitted to the Texas Ethics Commission pursuant to Government Code 2252.908 and a copy returned to FWHS with the Certification prior to Contract execution. A copy of the 1295 Form is included herein for information purposes only.)*



CERTIFICATE OF INTERESTED PARTIES

FORM 1295

Complete Nos. 1 - 4 and 6 if there are interested parties.
 Complete Nos. 1, 2, 3, 5, and 6 if there are no interested parties.

OFFICE USE ONLY

1 Name of business entity filing form, and the city, state and country of the business entity's place of business.

2 Name of governmental entity or state agency that is a party to the contract for which the form is being filed.

3 Provide the identification number used by the governmental entity or state agency to track or identify the contract, and provide a description of the services, goods, or other property to be provided under the contract.

4 Name of Interested Party	City, State, Country (place of business)	Nature of Interest (check applicable)	
		Controlling	Intermediary

5 Check only if there is **NO** Interested Party.

6 UNSWORN DECLARATION

My name is _____, and my date of birth is _____.

My address is _____, _____, _____, _____, _____.
(street) (city) (state) (zip code) (country)

I declare under penalty of perjury that the foregoing is true and correct.

Executed in _____ County, State of _____, on the _____ day of _____, 20____.
(month) (year)

 Signature of authorized agent of contracting business entity
 (Declarant)

ADD ADDITIONAL PAGES AS NECESSARY